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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			JUN 13 2007	Docket No.
Applicant(s): Boden, et al.				08CL7494
Application No.	Filing Date	Examiner	Group Art Unit	
09/682,286	August 14, 2001	Shameem	1626	
Invention: METHOD AND APPARATUS FOR PREPARING A DIALKYL CARBONATE, AND ITS USE IN THE PREPARATION OF DIARYL CARBONATES AND POLYCARBONATES				
FeeTransmittal (2 pgs); Request to Correct Inventorship (1 pg) Statement Under 37 CFR 3.73(b) (1 pg)				
I hereby certify that this _____ (Identify type of correspondence)				
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on June 13, 2007 (Date)				
Corine Wilkinson (Typed or Printed Name of Person Signing Certificate)				
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Under 37 CFR 1.17(i)
TRANSMITTAL

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents
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Application Number	09/682,286
Filing Date	August 14, 2001
First Named Inventor	Boden, et al.
Art Unit	1628
Examiner Name	Shameem
Attorney Docket Number	08CL7484

Enclosed is a paper filed under 37 CFR 1.48(b) that requires a processing fee (37 CFR 1.17(i)).
Payment of \$ 130.00 is enclosed.

This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail Stop, if applicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see form PTO/SB/17p.

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For papers filed under:

- § 1.28(c)(3) - for processing a non-itemized fee deficiency based on an error in small entity status.
- § 1.41 - for supplying the name or names of the inventor or inventors after the filing date without an oath or declaration as prescribed by § 1.83, except in provisional applications.
- § 1.48 - for correcting inventorship, except in provisional applications.
- § 1.52(d) - for processing a nonprovisional application filed with a specification in a language other than English.
- § 1.53(b)(3) - to convert a provisional application filed under § 1.53(c) into a nonprovisional application under § 1.53(b).
- § 1.55 - for entry of late priority papers.
- § 1.71(g)(2) - to enter an amendment to the specification for purposes of 35 U.S.C. 103(c)(2) if not filed within the cited time periods.
- § 1.99(e) - for processing a belated submission under § 1.99.
- § 1.103(b) - for requesting limited suspension of action, continued prosecution application (§ 1.53(d)).
- § 1.103(c) - for requesting limited suspension of action, request for continued examination (§ 1.114).
- § 1.103(d) - for requesting deferred examination of an application.
- § 1.217 - for processing a redacted copy of a paper submitted in the file of an application in which a redacted copy was submitted for the patent application publication.
- § 1.221 - for requesting voluntary publication or republication of an application. **Fee Code 1803**
- § 1.291(c)(5) - for processing a second or subsequent protest by the same real party in interest.
- § 1.497(d) - for filing an oath or declaration pursuant to 35 U.S.C. 371 (c)(4) naming an inventive entity different from the inventive entity set forth in the international stage.
- § 3.81 - for a patent to issue to assignee, assignment submitted after payment of the issue fee.

Patricia S. DeSimone

Signature

Patricia S. DeSimone

Typed or printed name

June 13, 2007

Date

48,137

Registration No., if applicable

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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08CL7494

IN THE UNITED STATES PATENT AND TRADEMARK OFFICERECEIVED
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Applicant: Boden, et al.)
Serial No.: 09/682,286) Group Art Unit: 1626
Filing Date: August 14, 2001) Examiner: Shameem
For: Method and Apparatus for Preparing)
a Dialkyl Carbonate, and its use in)
the Preparation of Diaryl Carbonates)
and Polycarboantes)

Assistant Commissioner for Patents
Washington, D.C. 20231

REQUEST TO CORRECT INVENTORSHIP
PURSUANT TO 37 C.F.R. 1.48(b)

Sir:

Applicants hereby request to correct inventorship in the above-referenced Patent Application pursuant to 37 C.F.R. 1.48(b). Applicants wish to correct the inventorship of the above-referenced Patent Application by deleting the following inventor: Ignacio Vic Fernandez. This deletion is required due to the fact that the invention of Ignacio Vic Fernandez is no longer being claimed.

In accordance with the requirements of 37 C.F.R. § 1.48(h), attached hereto are the following documents: (1) a statement from the assignee of record, General Electric Company, consenting to the change of inventorship; and (2) the appropriate fee as set forth in 37 C.F.R. 1.17(i).

Applicants respectfully request Ignacio Vic Fernandez be deleted as an inventor in the above-identified application. If there are any charges with respect with this request or otherwise, please charge them to Deposit Account No. 07-0893 maintained by applicant's attorneys.

Respectfully submitted,

CANTOR COLBURN LLP
Applicant's Attorneys

By: 

Patricia S. DeSimone
Registration No. 48,137

Date: June 13, 2007
Customer No.: 023413

06/14/2007 TL0111 00000038 070893 09682286
01 FC:1464 130.00 DA

Doc Code:

PTO/SB/96 (09-06)

Approved for use through 03/31/2007. OMB 0851-0031

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STATEMENT UNDER 37 CFR 3.73(b)**RECEIVED
CENTRAL FAX CENTER****JUN 13 2007**Applicant/Patent Owner: Eugene Pauling Boden et al.Application No./Patent No.: 10/227,111Filed/Issue Date: Aug. 23, 2002Entitled: METHOD AND APPARATUS FOR PREPARING A DIALKYL CARBONATE, AND ITS USE IN THE
PREPARATION OF DIARYL CARBONATES AND POLYCARBONATESGeneral Electric Company, a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at 013237, Frame 0606, or a true copy of the original is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

- ☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Frank A. Smith
Signature

4/16/07
Date

Frank A. Smith

413-448-7604

Printed or Typed Name

Telephone number

Counsel - Intellectual Property

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The information provided by you in this form will be subject to the following routine uses:

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3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.